

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	4069736
<b>Application Number:</b>	10759905
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	1515
<b>Title of Invention:</b>	Convertible debt hedge
<b>First Named Inventor/Applicant Name:</b>	Kevin G. Woodruff
<b>Customer Number:</b>	26285
<b>Filer:</b>	Mark G. Knedeisen/Michele Gray
<b>Filer Authorized By:</b>	Mark G. Knedeisen
<b>Attorney Docket Number:</b>	030678
<b>Receipt Date:</b>	07-OCT-2008
<b>Filing Date:</b>	16-JAN-2004
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<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 130
RAM confirmation Number	5980
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**File Listing:**

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	Amendment_Transmittal.pdf	249653 7960ad19943a7a80275c9b59c34510eb86b61373	no	4

**Warnings:****Information:**

2		response_to_office_action.pdf	57627 d5c071a7ba76ac7c0ba1814142586c92710dadd	yes	26
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**Multipart Description/PDF files in .zip description**

Document Description	Start	End
Amendment/Req. Reconsideration-After Non-Final Reject	1	1
Claims	2	25
Applicant Arguments/Remarks Made in an Amendment	26	26

**Warnings:****Information:**

3	Fee Worksheet (PTO-06)	fee-info.pdf	30071 3780ab4a6603ba991343a7c4a660a316a8a8a21e	no	2
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**Warnings:****Information:**

<b>Total Files Size (in bytes):</b>	337351
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.